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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Docket Number (Optional) EYEM1220

0 lit l	Datantas - Navan et al		
	Patentee: <u>Neven et al</u> or Patent No.: <u>09/520,47</u>	'9	
	ed: March 8,		
		METHOD INCLUDING RICH MEDIA T	OOLS
	lare that I am		
	er of the small business co- official of the small busines	ncern identified below: is concern empowered to act on behalf of	f the concern identified below:
NAME OF SI	MALL BUSINESS CONCE	RNEYEMATIC INTERFACES, INC.	
DDRESS C	OF SMALL BUSINESS COM	NCERN <u>9800 South La Cienega Blvd, I</u>	nglewood, California 90301
reproduced in employees of employees of time or temporations	n 37 CFR 1.9(d), for purpos if the concern, including tho if the business concern is the orary basis during each of the	es of paying reduced fees to the United S se of its affiliates, does not exceed 500 po e average over the previous fiscal year of he pay periods of the fiscal year, and (2)	small business concern as defined in 13 CFR 121.12, and States Patent and Trademark Office, in that the number of ersons. For purposes of this statement, (1) the number of the concern of the persons employed on a full-time, part-concerns are affiliates of each other when either, directly party or parties controls or has the power to control both.
	declare that the rights under		to and remain with the small business concern identified
	cification filed herewith with	title as listed above.	
the pate	lication identified above. Intidentified above. Its held by the above identifie	ed small business concern are not exclus	ive, each individual, concern or organization having rights
If the right If the right If the invention If the invention If the right If the rig	ent identified above. Its held by the above identification must file separate verifier than the inventor, who workern which would not qualify son, concern or organization	ed statement averring to their status as snuld not qualify as an independent inventor as a small business concern under 37 CF In having any rights in the invention is liste	R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).
If the pate If the right the invention the invention the invention the invention the invention the invention the right the right the pate	ent identified above. Its held by the above identification must file separate verifier than the inventor, who workern which would not qualify	ed statement averring to their status as snuld not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed action exists.	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).
the pate If the right the inventi- erson, other y any conce Each pers x No such Each su	ent identified above. Its held by the above identification must file separate verifier than the inventor, who workern which would not qualify son, concern or organization person, concern or organization.	ed statement averring to their status as snuld not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed action exists.	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).
If the right the invention erson, other y any conce Each pers X No such Each su ULL NAME	ent identified above. Its held by the above identification must file separate verifier than the inventor, who workern which would not qualify son, concern or organization person, concern or organization.	ed statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed attachments.	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ed below:
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If the pate If the right the invention the invention the invention of any conce Each pers X No such Each su FULL NAME ADDRESS: Separate status as sm I acknowle status prior to	ts held by the above identification must file separate verification of the person of the perso	and statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listeration exists. Inization is listed below: [] SMALL BUS. CONCERN Initiation or patent, notification of any charging, the earliest of the issue fee or any	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ed below: [] NONPROFIT ORGANIZATION organization having rights to the invention averring to their large in status resulting in loss of entitlement to small entity
If the right If the right If the right If the invention If the invention If the invention If the right If the	ts held by the above identification must file separate verifier than the inventor, who work that the inventor is no longer appropriate. (3) declare that all statements are to be true; and further that the inventor in the inventor inventor inventor inventor in the inventor inventor inventor in the inventor inventor in the inventor inventor inventor inventor inventor inventor in the inventor	and statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed attion exists. [] SMALL BUS. CONCERN [] SMA	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ed below: [] NONPROFIT ORGANIZATION organization having rights to the invention averring to their ange in status resulting in loss of entitlement to small entity maintenance fee due after the date on which status as a use and that all statements made on information and belief by by the content of the United States Code, and that such willful false of the United States Code, and that such willful false
If the right the invention erson, other y any conce Each pers No such Each su ULL NAME DDRESS: Separate tatus as sm I acknowle tatus prior to mall entity is I hereby of the punishab tatements m	ts held by the above identification must file separate verifier than the inventor, who work that the inventor is no longer appropriate. (3) declare that all statements are to be true; and further that the inventor in the inventor inventor inventor inventor in the inventor inventor inventor in the inventor inventor in the inventor inventor inventor inventor inventor inventor in the inventor	and statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed attion exists. [] SMALL BUS. CONCERN interest from each named person, concern or application or patent, notification of any chaying, the earliest of the issue fee or any 7 CFR 1.28(b)). Interest from each named person with the known of the statements were made with the known, or both, under section 1001 of Title 1 is the application, any patent issuing thereo	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ed below: [] NONPROFIT ORGANIZATION organization having rights to the invention averring to their ange in status resulting in loss of entitlement to small entity maintenance fee due after the date on which status as a use and that all statements made on information and belief by by the content of the United States Code, and that such willful false of the United States Code, and that such willful false
the pate If the right In the invention the invention If the invention If the right In the right In the reby of the punishabit Interest of the right In the right	ts held by the above identification must file separate verifier than the inventor, who work than the inventor, who work which would not qualify son, concern or organization person, concern o	and statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed attion exists. [] SMALL BUS. CONCERN interest from each named person, concern or application or patent, notification of any chaying, the earliest of the issue fee or any 7 CFR 1.28(b)). Interest from each named person with the known of the statements were made with the known, or both, under section 1001 of Title 1 is the application, any patent issuing thereo	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ed below: [] NONPROFIT ORGANIZATION
the pate If the right In the invention If the invention If the right In the invention If the right In the invention If the right If th	ts held by the above identification must file separate verifier than the inventor, who work than the inventor, who work which would not qualify son, concern or organization person, concern o	and statement averring to their status as small not qualify as an independent inventor as a small business concern under 37 CF in having any rights in the invention is listed to exist action exists. [] SMALL BUS. CONCERN sired from each named person, concern or application or patent, notification of any chaying, the earliest of the issue fee or any 7 CFR 1.28(b)). In added herein of my own knowledge are truthese statements were made with the known, or both, under section 1001 of Title 1 in the application, any patent issuing thereomut Neven	nall entities, and no rights to the invention are held by any under 37 CFR 1.9(c) if that person made the invention, or R 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). The debt below: [] NONPROFIT ORGANIZATION organization having rights to the invention averring to their ange in status resulting in loss of entitlement to small entity maintenance fee due after the date on which status as a use and that all statements made on information and belief by the debt by the control of the United States Code, and that such willful false on, or any patent to which this verified statement is directed. California 90301

Attorney Docket No.: EYEM1220 In the Application of: Neven et al



DECLARATION FOR PATENT APPLICATION

2 9 2000 5. Declaration Submitted With Initial Filing	OR	<u>X</u>	Declaration Submitted After Initial Filing
The As a below-named inventor, I hereby declare	e that:		

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMMUNICATION SYSTEM AND METHOD INCLUDING RICH MEDIA TOOLS

the specification of	Swhich
is attached her	eto.
x was filed on	8 March 2000 as U.S. Application Serial No. 09/520,479 and was amended
on	if applicable (the "Application").

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, Code of Federal Regulations ("C.F.R."), § 1.56.

I hereby claim foreign priority benefits under Title 35 United States Code § 119 (a)-(d) or § 365(b) of any foreign applications for patent or inventors certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America listed below and have been identified below by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYY)	Priority Not Claimed		d Copy ched
				YES	NO
		,			
		1			

Attorney Docket No.: EYEM1220 In the Application of: Neven et al

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

Application Number(s)	Filing Date (MM/DD/YYYY)

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of the Application:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

JAMES P. CLEARY, Reg. No. 45,843
TRAVIS L. DODD, Reg. No. 42,491
CHARLES D. GAVRILOVICH, Jr. Reg. No. 41,031
LISA A. HAILE, Reg. No. 38,347
WILLIAM N. HULSEY III, Reg. No. 33,402
RICHARD J. IMBRA, Reg. No. 37,643
JUNE M. LEARN, Reg. No. 31,238
TIMOTHY W. LOHSE, Reg. No. 35,255
GERALD W. MALISZEWSKI, Reg. No. 38,054

TERRANCE A. MEADOR, Reg. No. 30,298 JOHN J. OSKOREP, Reg. No. 41,234 STEPHEN E. REITER, Reg. No. 31,192 STEVEN R. SPRINKLE, Reg. No. 40,825 DAVID R. STEVENS, Reg. No. 38,626 RAMSEY R. STEWART, Reg. No. 38,322 MARK M. TAKAHASHI, Reg. No. 38,631 BARRY N. YOUNG, Reg. No. 27,774

Attorney Docket No.: EYEM1220 In the Application of: Neven et al

Please direct all correspondence to:

Terrance A. Meador Gray Cary Ware & Freidenrich 401 B Street, Suite 1700 San Diego, CA 92101-4297

Phone: 619/699-2652 - Fax: 619/699-3452

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole Or First Inventor (First, Middle, Family Name or Surname)	Hartmut Neven			
Inventor Signature	4tt lea	Date:	08/21/00	
Residence Address				
Post Office Address				
Citizenship	Germany		·	

Full Name of Second Inventor (First, Middle, Family Name or Surname)	Orang Dialameh			
Inventor Signature	man 2:01 Date: 08/21	100		
Residence Address	827 20th Street, Santa Monica, California 90405			
Post Office Address	827 20 th Street, Santa Monica, California 90405			
Citizenship	Iran			

Full Name of Third Inventor (First, Middle, Family Name or Surname)	Charles L. Blanchard		, ,
Inventor Signature	MINM	Date:	8/21/00
Residence Address	1489 Webster Street, #704, San Francisco, C	alifornia	94115
Post Office Address	1489 Webster Street, #704, San Francis, Cali	ifornia 94	115
Citizenship	USA		





FEE TRANSMITTAL

Attorney Docket No.	EYEM1220	
First Named Inventor:	Neven et al	
Application Number	09/520,479	
Filing Date:	03/08/00	
Examiner Name:	Unknown	
Group/Art Unit:	2749	
TOTAL AMOUNT OF PA	YMENT:	\$ 1,413.00
METHOD OF PAYMENT	(check One)	1. X The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:
		Deposit Account No.: 07-1895 Deposit Account Name: GRAY CARY WARE & FREIDENRICH X. Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
		2. X Payment Enclosed: X Check Money Order Other

2. UTILITY Basic Filing Fee & Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 690.00	\$345.00	\$ 345.00
Total Claims	41 - 20 =	21	X \$ 18.00	X \$ 9.00	\$ 189.00
Independent Claims	19 - 3=	16	X \$ 78.00	X \$ 39.00	\$ 624.00
Multiple Dependent Cla	im(s) (if applicable)) .	\$ 260.00	\$130.00	\$ 000.00
	\$ 1,158.00				

Basic Filing Fee	Large Entity	Small Entity	Total		
Design filing fee	\$ 310.00	\$ 155.00	\$ 000.00		
Reissue filing fee	\$ 690.00	\$ 345.00	\$ 0.00		
Provisional filing fee	\$ 150.00	\$ 75.00	\$ 0.00		
	Total of above Calculations =				

3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other \$
Surcharge For Missing Parts	\$	\$ 65.00	
Two Month Extension of Time	\$	\$ 190.00	\$
	\$	\$	\$
	\$	\$	\$
		TOTAL	\$ 255.00

Name (print/type)	Terrance A. Meador	Registration 1 (Attorney/Ag	1 30 298
Signature	Tenance A. Meal	Date	23 August 2000